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SWS Financial Solutions Pvt. Ltd.

Comprehensive Financial Consultancy & Services

We receive commission from Asset Management Companies for investments made by our clients under our ARN Code. The commission receivable is subject to and as per the guidelines laid down by SEBI and the Asset Management Companies. The disclosure of commissions payable to us for the different schemes of various mutual funds as per clause 4(d) of the SEBI Circular No. SEBI/IMD/CIR No. 4/168230 dated June 30, 2009 is provided (hereinbelow table)

Fund type	Upfront brokerage / upfront commission	Trail year 1 onwards
Equity ,hybrid and elss	0%	0% TO 1.75%
Index	0%	0% TO 1.00%
Asset allocation	0%	0% TO 1.50%
Arbitrage funds	0%	0%TO 1.00%
Debt hybrid	0%	0% TO 1.50%
Gilt	0%	0% TO 1.50%
Income	0%	0% TO 1.50%
Short term	0%	0% TO 0.75%
Liquid & floating rate plans	0%	0% TO 0.10%

Details of Scheme level commission on Mutual funds are available with the Relationship Managers and would be produced on demand.

This is on the best effort basis and rates are updated as and when actual rates are received from AMCs

Note: With effect from 1st April 2012 SWS Financial Solutions Pvt Ltd has "opted-out" for transaction charge as per the SEBI circular no. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011.