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SWS Financial Solutions Pvt. Ltd.

Comprehensive Financial Consultancy & Services

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SECURITIES AND EXCHANGE BOARD OF INDIA (INVESTMENT ADVISERS) REGULATIONS, 2013 for last and current financial year are as under.

Sr. No.	Financial Year	Compliance Audit Status	Remarks, If any
1	FY 2016-17	Conducted	Nil
2	FY 2017-18	Conducted	Nil
3	FY 2018-19	Conducted	Nil
4	FY 2019-20	Conducted	Nil
5	FY 2020-21	Conducted	Nil
6	FY 2021-22	Conducted	Nil
7	FY 2022-23	Conducted	Nil
8	FY 2023-24	Conducted	Nil
9	FY 2024-25	Conducted	Nil